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PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/864,954
		Filing Date	May 24, 2001
		First Named Inventor	Sepp Kaul
		Group Art Unit	1646
		Examiner Name	
Total Number of Pages in This Submission		Confirmation Number	2860

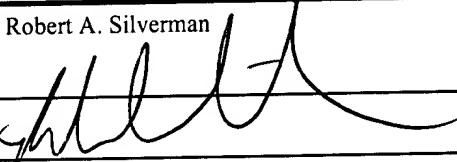
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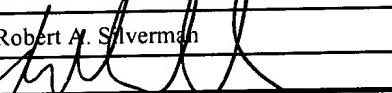
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert A. Silverman
Signature	
Date	January 8, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231:

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s) Kaul et al

Group: 1646

Serial No. 09/864,954, filed May 24, 2001

Examiner:

For: A NUCLEIC ACID WHICH IS UPREGULATED IN HUMAN TUMOR CELLS, A PROTEIN ENCODED THEREBY AND A PROCESS FOR TUMOR DIAGNOSIS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110

Date: January 8, 2002

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Document B3 listed on Form PTO-1449 is enclosed.

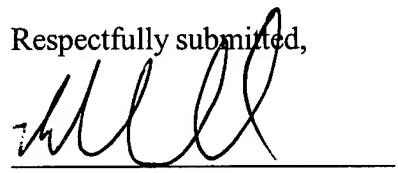
Enclosed is a Search Report, dated October 9, 2001, which was issued by the European Patent Office in the European application corresponding to the captioned application. The Search Report lists documents A1, B1-B3, C1 and C2. Documents A1, B1-B2, C1 and C2 are not enclosed since they have been previously submitted.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 09/864,954
Filed: May 24, 2001

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,



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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Application Number	09/864,954
 JAN 31 2002 		Filing Date	May 24, 2001
		First Name Inventor	Sepp Kaul
		Group Art Unit	1646
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	20678

TRADE & PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.